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**Algebras of arrays  
a tool to deal  
with concurrency**

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ALGEBRAS OF ARRAYS - A TOOL TO DEAL WITH CONCURRENCY

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## **Abstract . Содержание . Streszczenie**

New objects similar to words over certain alphabets are introduced. These objects, called arrays, can sometimes be composed sequentially or parallelly which gives a possibility to introduce algebras of arrays. As arrays are good mathematical models of non-sequential processes, such algebras are very handy tools to describe non-sequential systems without any loss of information on concurrency. Using them one is able to characterize sets of non-sequential processes generated by non-sequential systems as solutions of certain equations.

### **Алгебры расстановок – некоторое средство описания параллелизма**

Вводятся новые объекты, аналогичные цепочкам над некоторыми алфавитами. Эти объекты, называемые расстановками, можно складывать последовательно или параллельно, что приводит к алгебрам расстановок. Так как расстановки являются хорошими математическими моделями параллельных процессов, эти алгебры являются очень удобным средством описания действия параллельных систем без потери информации об имеющемся параллелизме. Пользуясь ими, можно рассматривать множества параллельных процессов, порождаемых такими системами, как решения некоторых уравнений.

### Algebry ustawień - narzędzie do opisu współbieżności

Wprowadzono nowe obiekty podobne do słów nad rozmaitymi alfabetami. Te obiekty, zwane ustawieniami, można składać sekwencyjnie i równoległe, co daje możliwość wprowadzenia algebr ustawień. Ponieważ ustawienia są dobrymi modelami matematycznymi procesów niesekwencyjnych, takie algebry są wygodnym środkiem opisu systemów niesekwencyjnych bez utraty informacji o współbieżności. Stosując je można charakteryzować zbiory procesów niesekwencyjnych generowanych przez systemy niesekwencyjne jako rozwiązania pewnych równań.

## 1. INTRODUCTION

In what follows we present new objects similar to words over certain alphabets. These objects, called arrays, are classes of isomorphic partially ordered sets labelled in a particular way. Some of them can be composed sequentially or parallelly which gives a possibility to introduce algebras of arrays. These algebras appear to be nearly strict monoidal categories.

As arrays are good mathematical models of non-sequential processes, algebras of arrays are very handy tools to describe non-sequential systems. Using them we are able to characterize sets of non-sequential processes generated by such systems as solutions of certain equations, similarly as we do in the case of sequential systems like automata.

## 2. ALGEBRAS OF ARRAYS

By arrays we mean here classes of isomorphic labelled partially ordered sets (l.p.o. sets). These l.p.o. sets are supposed to be triples  $(X, \leq, l)$  belonging to a universal set  $U$  (in the sense of Sonner [7]) and consisting of a partially ordered set  $(X, \leq)$  and of a mapping  $l: X \rightarrow L$  called a labelling such that:

$$(1) \quad l(x)=l(y) \text{ implies } x \leq y \text{ or } y \leq x$$

Two l.p.o. sets  $a=(X, \leq, l)$  and  $a'=(X', \leq', l')$  are considered to be isomorphic if there is a bijection  $f: X \rightarrow X'$  called an isomorphism from  $a$  to  $a'$  and written as  $f: a \rightarrow a'$  such that:

$$x \leq y \text{ iff } f(x) \leq' f(y)$$

$$l(x) = l'(f(x))$$

Isomorphic l.p.o. sets  $a, a'$  belong to the same array which will be denoted  $[a]$  or  $[a']$ . Arrays with labellings having values in a set  $L$  (of labels) are said to be L-valued.

Arrays in our sense are similar to the processes considered by Mazurkiewicz [3]. The difference is that, instead of arbitrary triples  $(X, \leq, l)$ , we concentrate only on l.p.o. sets satisfying (1), and we consider arrays as classes of isomorphic l.p.o. sets.

As we said, arrays are good mathematical models of various real processes, especially non-sequential ones. Every array can be considered as a space-time in the physical sense. Its ordering reflects temporal features whereas its labelling reflects spatial ones.

In order to define the sequential and parallel compositions of arrays we introduce first two partial unary operations  $\partial_0, \partial_1$  assigning a "source" and a "target" respectively to some of arrays.

The source  $\partial_0(a)$  of an array  $a = [(X, \leq, l)]$  is defined as the array  $[(X_0, \leq|_{X_0}, l|_{X_0})]$  with  $X_0$  being the set of minimal elements of  $(X, \leq)$  and  $\leq|_{X_0}, l|_{X_0}$  being the restrictions of  $\leq, l$  to  $X_0$ , provided every element of  $X$  has a lower bound in  $X_0$ . Otherwise  $\partial_0(a)$  is undefined. Similarly, the target  $\partial_1(a)$  of  $a$  is defined as the array  $[(X_1, \leq|_{X_1}, l|_{X_1})]$  with  $X_1$  being the set of maximal elements of  $(X, \leq)$ , provided every element of  $X$  has an upper bound in  $X_1$ .

The sequential composition  $a_1 \cdot a_2$  is defined for arrays  $a_1, a_2$  such that  $\partial_1(a_1), \partial_0(a_2)$  are defined and identical. It consists in "glueing"  $a_1, a_2$  by identifying every maximal element of  $a_1$  with the minimal element of  $a_2$  having the same label, and by extending the orderings of  $a_1$  and  $a_2$  to a common ordering. More formally, if  $a_1 = [(X_1, \leq_1, l_1)]$  with the set  $X_0$  of maximal elements of  $(X_1, \leq_1)$ , and  $a_2 = [(X_2, \leq_2, l_2)]$  with the same set  $X_0$  of minimal elements of  $(X_2, \leq_2)$ , and  $l_1|_{X_0} = l_2|_{X_0}$ , then we define  $a_1 \cdot a_2$  as  $[(X, \leq, l)]$ , where:

$$X = \{1\} \times X_1 \cup \{2\} \times (X_2 \setminus X_0)$$

$x \leq y$  iff there are  $x', y'$  such that

$$x = (1, x') \text{ and } y = (1, y') \text{ and } x' \leq_1 y' \text{ or}$$

$$x = (2, x') \text{ and } y = (2, y') \text{ and } x' \leq_2 y' \text{ or}$$

$$x = (1, x') \text{ and } y = (2, y') \text{ and } x' \leq_1 z \leq_2 y'$$

for some  $z \in X_0$

$$l(x) = \begin{cases} l_1(x') & \text{for } x = (1, x') \\ l_2(x') & \text{for } x = (2, x') \end{cases}$$

The parallel composition  $a_1 \times a_2$  is defined for arrays  $a_1, a_2$  such that the sets of labels of the elements of  $a_1$  and  $a_2$  are disjoint. The result is defined as an array consisting of two independent parts corresponding to  $a_1$  and  $a_2$ . More formally, if  $a_1 = [(X_1, \leq_1, l_1)]$  and  $a_2 = [(X_2, \leq_2, l_2)]$  with  $l_1(X_1) \cap l_2(X_2) = \emptyset$  then we define  $a_1 \times a_2$  as  $[(X, \leq, l)]$ , where:

$$X = \{1\} \times X_1 \cup \{2\} \times X_2$$

$x \leq y$  iff there are  $x', y'$  such that

$$x = (1, x') \text{ and } y = (1, y') \text{ and } x' \leq_1 y' \text{ or}$$

$$x = (2, x') \text{ and } y = (2, y') \text{ and } x' \leq_2 y'$$

$$l(x) = \begin{cases} l_1(x') & \text{for } x=(1,x') \\ l_2(x') & \text{for } x=(2,x') \end{cases}$$

There is also a particular null array  $0 = [(\emptyset, \emptyset, \emptyset)]$ .

It is easy to check that all these operations are defined correctly. Thus, the set  $V(U)$  of arrays defined in the universal set  $U$  converts into a partial algebra:

$$A(U) = (V(U), \partial_0, \partial_1, \cdot, \times, 0)$$

We call it the algebra of arrays defined in  $U$ . Its subalgebras are called algebras of arrays.

It is easy to verify that the following axioms are true in any algebra of arrays:

- (A1) if  $\partial_0(a)$  is defined then  $\partial_0(\partial_0(a)), \partial_1(\partial_0(a))$  are defined and  $\partial_0(\partial_0(a)) = \partial_1(\partial_0(a)) = \partial_0(a)$ ,
- (A2) if  $\partial_1(a)$  is defined then  $\partial_0(\partial_1(a)), \partial_1(\partial_1(a))$  are defined and  $\partial_0(\partial_1(a)) = \partial_1(\partial_1(a)) = \partial_1(a)$ ,
- (A3)  $a \cdot b$  is defined iff  $\partial_1(a), \partial_0(b)$  are defined and identical,
- (A4) if  $a \cdot b$  is defined then  $\partial_0(a)$  is defined iff  $\partial_0(a \cdot b)$  is defined, and  $\partial_0(a) = \partial_0(a \cdot b)$  if defined,
- (A5) if  $a \cdot b$  is defined then  $\partial_1(b)$  is defined iff  $\partial_1(a \cdot b)$  is defined, and  $\partial_1(b) = \partial_1(a \cdot b)$  if defined,
- (A6) if  $\partial_0(a)$  is defined then  $\partial_0(a) \cdot a$  is defined and  $\partial_0(a) \cdot a = a$ ,
- (A7) if  $\partial_1(a)$  is defined then  $a \cdot \partial_1(a)$  is defined and  $a \cdot \partial_1(a) = a$ ,

(A8) if  $\partial_1(a), \partial_0(b), \partial_1(b), \partial_0(c)$  are defined and  $\partial_1(a) = \partial_0(c)$ ,  
 $\partial_1(b) = \partial_0(c)$  then  $a \cdot (b \cdot c) = (a \cdot b) \cdot c$ ,

(A9)  $a \times b$  is defined iff  $b \times a$  is defined, and  $a \times b = b \times a$  if defined,

(A10)  $(a \times b) \times c$  is defined iff  $a \times (b \times c)$  is defined, and  
 $(a \times b) \times c = a \times (b \times c)$  if defined,

(A11) there is an element 0 (null array) which is neutral for  $\times$ ,  
i.e.,  $0 \times a = a \times 0 = a$  for all  $a$ ,

(A12) if  $a \times b$  is defined then  $\partial_0(a), \partial_0(b)$  are defined iff  
 $\partial_0(a \times b)$  is defined and iff  $\partial_0(a) \times \partial_0(b)$  is defined, and  
 $\partial_0(a \times b) = \partial_0(a) \times \partial_0(b)$  if defined,

(A13) if  $a \times b$  is defined then  $\partial_1(a), \partial_1(b)$  are defined iff  
 $\partial_1(a \times b)$  is defined and iff  $\partial_1(a) \times \partial_1(b)$  is defined, and  
 $\partial_1(a \times b) = \partial_1(a) \times \partial_1(b)$  if defined,

(A14) if  $a \cdot a' \times b \cdot b'$  is defined then  $(a \times b) \cdot (a' \times b')$  is defined and  
 $a \cdot a' \times b \cdot b' = (a \times b) \cdot (a' \times b')$ .

This means that algebras of arrays have nearly the same properties as strict monoidal categories (see Mac Lane[2] for definition). The only difference is that their operations are partial. For this reason, algebras fulfilling (A1) - (A14) will be called partial monoidal categories (p.m. categories).

We define a homomorphism from a p.m. category  $A = (V, \partial_0, \partial_1, \cdot, \times, 0)$  to a p.m. category  $A' = (V', \partial'_0, \partial'_1, \cdot', \times', 0')$  as a mapping  $f: V \rightarrow V'$  such that:

(h1) if  $\partial_0(a)$  is defined then  $\partial'_0(f(a))$  is defined and  
 $f(\partial_0(a)) = \partial'_0(f(a))$ ,

- (h2) if  $\partial_1(a)$  is defined then  $\partial_1'(f(a))$  is defined and  
 $f(\partial_1(a)) = \partial_1'(f(a))$ ,
- (h3) if  $a \cdot b$  is defined then  $f(a) \cdot' f(b)$  is defined and  
 $f(a \cdot b) = f(a) \cdot' f(b)$ ,
- (h4) if  $a \times b$  is defined then  $f(a) \times' f(b)$  is defined and  
 $f(a \times b) = f(a) \times' f(b)$ ,
- (h5)  $f(0) = 0'$

In other words,  $f$  is nearly a functor that preserves the parallel composition and its neutral element.

All the p.m. categories and their homomorphisms constitute a category Pmc of p.m. categories.

Algebras of arrays are interesting p.m. categories because some of them have sets of "free" generators in the sense that mappings from such sets into underlying sets of p.m. categories can be uniquely extended to homomorphisms provided they preserve all the equalities between generators. Such "freely generated" algebras of arrays play thus a role similar to that of free monoids of words.

### 3. APPLICATIONS TO NON-SEQUENTIAL SYSTEMS

Having an algebra  $A = (V, \partial_0, \partial_1, \cdot, \times, 0)$  of arrays one can define for subsets of  $V$ :

$$\begin{aligned}\partial_0(C) &= \{a \in V : a = \partial_0(c) \text{ for some } c \in C\} \\ \partial_1(C) &= \{a \in V : a = \partial_1(c) \text{ for some } c \in C\}\end{aligned}$$

$$C \cdot C' = \{a \in V: a = c \cdot c' \text{ for some } c \in C, c' \in C'\}$$

$$C \times C' = \{a \in V: a = c \times c' \text{ for some } c \in C, c' \in C'\}$$

$$0 = \{0\}$$

as it was done by Blikle [1] for sets of sequences. This gives continuous operations in the lattice  $B(V)$  of subsets of  $V$ , i.e., the following conditions are satisfied:

$$\partial_0\left(\bigcup_{i \in I} c_i\right) = \bigcup_{i \in I} \partial_0(c_i), \quad \partial_1\left(\bigcup_{i \in I} c_i\right) = \bigcup_{i \in I} \partial_1(c_i)$$

for every chain  $\{c_i\}_{i \in I}$  of elements of  $B(V)$ , and

$$\left(\bigcup_{i \in I} c_i\right) \cdot \left(\bigcup_{i \in I} c'_i\right) = \bigcup_{i \in I} c_i \cdot c'_i$$

$$\left(\bigcup_{i \in I} c_i\right) \times \left(\bigcup_{i \in I} c'_i\right) = \bigcup_{i \in I} c_i \times c'_i$$

for every chain  $\{(c_i, c'_i)\}_{i \in I}$  of elements of the product lattice  $B(V) \times B(V)$ . Thus, every mapping  $f: (B(V))^n \rightarrow (B(V))^n$  defined using these operations is continuous and, due to the well known Tarski's theorem, has fixed points. Moreover, there is the least fixed point  $C$  of  $f$  and it is given by the formula:

$$C = f(\emptyset^n) \cup f(f(\emptyset^n)) \cup \dots$$

where  $\emptyset^n = (\emptyset, \dots, \emptyset) \in (B(V))^n$ . This allows one to solve sets of equations of the form:

$$X_1 = f_1(X_1, \dots, X_n)$$

> ...

$$X_n = f_n(X_1, \dots, X_n)$$

where  $f_1, \dots, f_n$  are defined using the introduced operations. For instance, the closure of a set  $C$  of arrays with respect to the

parallel composition is the least solution of the equation:

$$X = C \times X \cup C$$

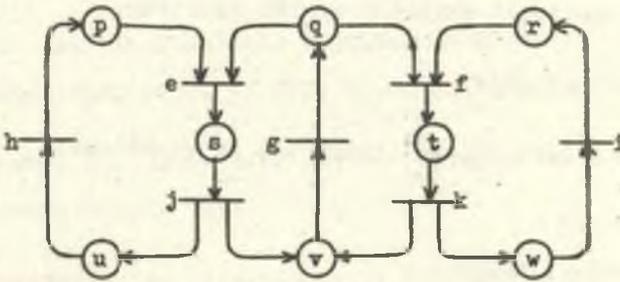
The above facts allow one to characterize algebraically sets of arrays representing non-sequential processes generated by non-sequential systems. We shall show this on the example of finite Petri nets.

Let  $N = (C, E, \text{Pre}, \text{Post})$  be a finite Petri net with a set  $C$  of conditions, a set  $E$  of events, and two binary relations:  $\text{Pre} \subseteq C \times E$ , indicating that certain conditions are preconditions of particular events, and  $\text{Post} \subseteq E \times C$ , indicating that certain conditions are postconditions of particular events (see Petri [5,6] for details).

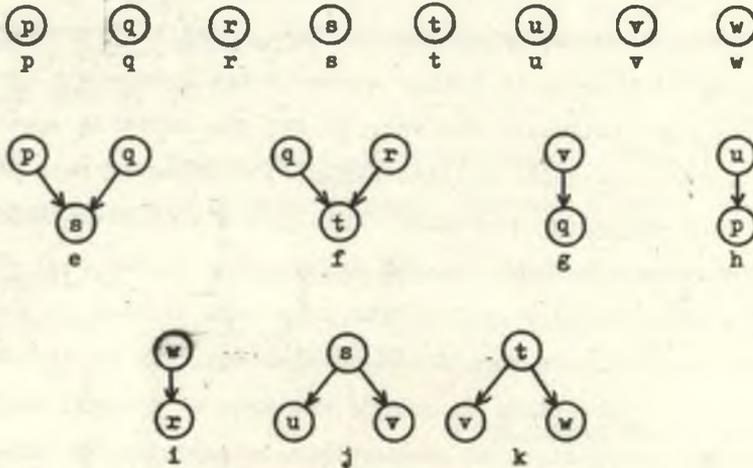
Every event  $e$  represents an elementary process that can be considered as a  $C$ -valued array consisting of minimal and maximal elements only, where the labels of minimal elements are exactly the preconditions of  $e$ , the labels of maximal elements are exactly the postconditions of  $e$ , and every minimal element is earlier than every maximal element.

Every condition  $c$  represents an elementary process that can be considered as a one-element array labelled by  $c$ .

The set  $F(N)$  of all such elementary processes corresponds to the whole net  $N$ , and it determines  $N$  completely. For example, for the following net (circles denote conditions and bars denote events):



we have the set  $\{p, q, r, s, t, u, v, w, e, f, g, h, i, j, k\}$  of the following elementary processes:



The whole net  $N$  generates processes which are composed of elementary ones according to the net structure. Every of them has an initial part which is a parallel composition of elementary processes, and this part is composed sequentially with the rest of the process. Thus, the set  $X$  of generated processes is a solution of the equation:

$$X = Y \cdot XUY$$

where  $Y$  is the closure of  $P(N)$  with respect to the parallel composition, i.e., the least solution of the equation:

$$Y = P(N) \times YUP(N)$$

Finally, we obtain the following set of equations:

$$X = Y \cdot XUY$$

$$Y = P(N) \times YUP(N)$$

#### 4. COMMENTS

The idea to characterize algebraically sets of processes generated by Petri nets is fairly known in the literature (see Paterson [4] for instance). However, in all the existing approaches Petri nets are considered as indeterministic automata capable to generate only sequences of states. This leads to rather large sets of equations and also to a loss of information on the real concurrency (see Petri [6]). Application of arrays instead of sequences allows one to avoid such disadvantages and, due to the introduced algebraic operations on arrays and sets of arrays, to preserve still a possibility to characterize algebraically sets of processes generated by non-sequential systems.

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